

**CONFIRMATION OF VERIFICATION OF IDENTITY
PRIVATE INDIVIDUAL**

INTRODUCTION BY AN FCA REGULATED FIRM

1 DETAILS OF INDIVIDUAL (see explanatory notes below)

Full Name of Customer	
Address	
	Post Code
Previous Address (if address has changed in the last 3 months)	
	Post Code
Date of Birth	D D M M Y Y Y Y

2 CONFIRMATION

I/We confirm that:

- a. The information in section 1 above was obtained by me/us in relation to the customer;
- b. The customer does not appear on the HM Treasury Financial Sanctions List
- c. The evidence I/we have obtained to verify the identity of the customer:

Please tick one

<input type="checkbox"/>	meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
<input type="checkbox"/>	exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

- d. Politically Exposed Persons (see explanatory notes below)

Please tick if applicable

<input type="checkbox"/>	Is to be classed as a Politically Exposed Person (PEP), or is a family member/known close associate of a PEP (further details below)
	Reason for classification as PEP:

3 DOCUMENTATION – CERTIFIED COPY MUST BE ATTACHED

Please tick

<input type="checkbox"/>	Individual Identification Options <ul style="list-style-type: none">- Passport- National or Employees Identity Card (with signature and photo)- Full UK Driving Licence (or UK Photo Card Licence)*
--------------------------	--

Please tick

<input type="checkbox"/>	Address Identification Options (document must be no older than 3 months) <ul style="list-style-type: none">- Bank/Building Society/Mortgage Statement- Utility Bill (not mobile phone)- Local Authority Tax Demand- Full UK Driving Licence (or UK Photo Card Licence)*
--------------------------	--

* Driving Licence cannot be used for both Individual and Address identification

Note:	Please contact us if the above documents are not available so we can arrange alternatives.
-------	--

4 ACCOUNT FUNDING INFORMATION

Expected Opening Balance	£
Source of Funds	<input type="checkbox"/> Transfer from Registered Pension Scheme <input type="checkbox"/> Other – please clarify below:
Country of Origin of Funds	<input type="checkbox"/> United Kingdom <input type="checkbox"/> Other – please clarify below:
Likely Initial Transaction & Value	£
	Purpose:
Signed	Name
Position	Date
	D D M M Y Y Y Y
Full Name of Regulated Firm	FCA Firm Reference Number

EXPLANATORY NOTES

- A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
- This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
- This confirmation must carry an original signature, or an electronic equivalent.

POLITICALLY EXPOSED PERSONS (PEPs)

Politically exposed persons (PEPs) are individuals whose prominent position in public life may make them vulnerable to corruption. The definition extends to immediate family members and known close associates. Examples include:

- Heads of state, heads of government, ministers and deputy or assistant ministers, Members of parliament or of similar legislative bodies, Member of the governing bodies of political parties
- Members of supreme courts, constitutional courts, or of any judicial body the decisions of which are not subject to further appeal except in exceptional circumstances;
- members of courts of auditors or of the boards of central banks;
- ambassadors, charges d'affaires and high-ranking officers in the armed forces;
- members of the administrative, management or supervisory bodies of State-owned enterprises;
- directors, deputy directors and members of the board or equivalent function of an international organisation.